IAP7 Rec'd PCTIPTO 05 MAY 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 1189-PCT-US DESIGNATED/ELECTED OFFICE (DO/EO/US) Ü.S. APPLICA **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** Not Yet Known INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED November 7, 2003 November 7, 2003 PCT/SG2003/000259 TITLE OF INVENTION ORTHODONTIC APPLIANCE APPLICANT(S) FOR DO/EO/US Kok Liang TAN and Hong Meng LIM Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

AP20 Rec'd PCT/PTO 05 MAY 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Not Yet Know? 20. Other items or information: September 2, 2005 PCT/IB/306 PCT Notification of the Recording of a Change January 24, 2006 PCT International Preliminary Examination Report The following fees have been submitted 21. Sesion sational fee (37 CFR 1.492(a))	U.S. APPLICA	ON NO ANTROWN,	ESS ON CHA M	INTERNATIONAL AP	PLICATION NO.	ATTORNET 3 DOG	THE THOMBEN	
September 2, 2005 PCT/IB/306 PCT Notification of the Recording of a Change January 24, 2006 PCT International Preliminary Examination Report The following fees have been submitted 21.	Not Yet Known	U/ 2/	0/02	PCT/SG2003/000	259	1189-PCT-US		
September 2, 2005 PCT/IB/306 PCT Notification of the Recording of a Change January 24, 2006 PCT International Preliminary Examination Report The following fees have been submitted 21. 22 Basic national fee (37 CFR 1.492(e))	20. Other it	tems or information	on:					
January 24, 2006 PCT International Preliminary Examination Report The following fees have been submitted 21. 22 Basic national tee (37 CFR 1.492(e)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by ISA/US and indicates all claims satisty provisions of PCT Article 33(1)(4)								
The following fees have been submitted 21. Saic national fee (37 CFR 1.492(a))								
21.	January 24,	2006 PC	I Internation	nal Preliminary Exa	mination Repoi	τ		
21.	Th - 6-U-		non auborittad			CALCULATIONS	PTO LISE ONLY	
21. Examination fee (37 CFR 1.492(c)) 22. Examination fee (37 CFR 1.492(c)) 1300.00 23. Sample fee (37 CFR 1.492(c)) 14 the written opinion prepared by (SA/US or the international preliminary examination report prepared by (PEA/US) indicates all claims satisfy provisions of PCT Article 33(1)-(4)		-			****	\$	1 10 002 01121	
If the written opinion prepared by ISAUS or the International preliminary examination report prepared by IPEAUS indicates all claims satisfy provisions of PCT Article 33(1)-(4)	21. 🔼 Basic	national fee (37	CFR 1.492(a))		\$300	300.00		
by PEAUS indicates all claims satisfy provisions of PCT Article 33(1)-(4)								
All other situations	If the written opinio	on prepared by IS	\$ 200.00					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	All other situation	S	sausiy piovi		\$200			
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	23 🔽 Searc	ch fee (37 CFR 1	492(b))					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Sacriching Authority	If the written opinion	on of the ISA/US	or the Internation	al preliminary examination re	port prepared by			
International Searching Authority	PEA/US i	indicates all claim	\$ 400.00					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB	Internation	nal Searching Au						
All other situations	International Sear	ch Report prepar		ļ				
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(l)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof. 18 - 100 = 0 /50 =	All other situations	previously communicated to the US by the IB						
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets		TOTAL OF 21 22	900.00					
electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets	Additional fee for specification and drawings filed in paper over 100 sheets (excluding							
The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) 18 - 100 = 0 /50 =	sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an							
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) 18 - 100 = 0 /50 =								
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). S								
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ Total claims 13-20 = 0		TOTAL CHOCKS TANK OF THE PARTY						
after the date of commencement of the national stage (37 CFR 1.492(h)). CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ Total claims 13-20 = 0	18 - 100 =	0 /50 =			x \$250	\$ 0.00	<u>, </u>	
CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ Total claims 13-20 = 0	Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration							
Total claims 13-20 = 0 x \$50 \$ 0 Independent claims 1-3 = 0 x \$200 \$ 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ 0.00 TOTAL OF ABOVE CALCULATIONS = \$900.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ TOTAL FEES ENCLOSED = \$900.00 Amount to be refunded: \$ Amount to be					RATE	\$		
Independent claims 1-3 = 0		NOME	 +				1	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ 900.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: Amount to be								
TOTAL OF ABOVE CALCULATIONS = \$ 900.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: Amount to be								
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	MOETH LE SEI ENSENT OS MINO, (II SPENDENT)							
SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: Amount to be	Applicant clai	ms small entity s	333.33					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: \$ Amount to be			\$ 900.00					
claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: \$ Amount to be	Processing fee of	\$130.00 for furni						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: \$ Amount to be \$	claimed priority date (37 CFR 1.492(i)).							
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: \$ Amount to be \$			\$ 900.00					
TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: \$ Amount to be \$	Fee for recording by an appropriate	the enclosed ass cover sheet (37	\$					
refunded: 5 Amount to be \$		· · · · · · · · · · · · · · · · · · ·	\$ 900.00					
							\$	
							\$	

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	WE CONFUPCION WE WAY 2006						
a. A check in the amount of \$_\$900.00 to cover the	above fees is enclosed.						
b. Please charge my Deposit Account No. <u>50-1891</u> in the amount A duplicate copy of this sheet is enclosed.	of \$ to cover the above fees.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1891. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Albert Wai-Kit Chan	Ollveit wai wie Ch						
Law Offices of Albert Wai-Kit Chan, LLC	Albert Wai-Kit Chan NAME 36,479						
World Plaza, Suite 604 141-07 20th Avenue, Whitestone, NY 11357							
Tel: 718-799-1000							
Fax: 718-357-8615	REGISTRATION NUMBER						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Kok Liang TAN and Hong Meng LIM

U.S. Serial No.:

Not Yet Known, National Stage Application

of International Application

PCT/SG2003/000259, filed November 7, 2003

Filed

Herewith

For

ORTHODONTIC APPLIANCE

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20th Avenue Whitestone, NY 11357

May 5, 2006

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

EXPRESS MAIL CERTIFICATE OF MAILING IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV 766839338 US

Date of Deposit: May 5, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Joseph Giordano Printed Name!

Respectfully submitted,

Coopers asai Kit Can

Albert Wai-Kit Chan Reg. No. 36,479

Attorney for Applicants

Law Offices of

Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20th Avenue

Whitestone, NY 11357 Tel: (718) 799-1000

Fax: (718) 357-8615

E-mail: chank@kitchanlaw.com